



2644
JFR

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Oba et al.
Appl. No.: 09/705,035
Conf. No.: 6839
Filed: November 2, 2000
Title: PORTABLE AUDIO LISTENING APPARATUS
Art Unit: 2644
Examiner: J. Michalski
Docket No.: 112857-265

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with the provisions of 37 C.F.R. 1.56, 37 C.F.R. 1.97, and 37 C.F.R. 1.98, Applicants request that a citation and examination of the references cited below, and on the attached PTO-1449 form, copies of which are enclosed, be made during the course of examination of the above-identified application for United States patent.

U.S. PATENT DOCUMENTS

<u>Document No.</u>	<u>Date</u>	<u>Inventor</u>
5,551,065	08-27-1996	Honore
5,914,701	06-22-1999	Gersheneld et al.

FOREIGN PATENT DOCUMENTS


<u>Document No.</u>	<u>Date</u>	<u>Country</u>
EP 07-170215	07-04-1995	Europe

All of the cited references were cited in a European search report dated December 14, 2004 for a corresponding European application. A copy of the European Search Report is enclosed.

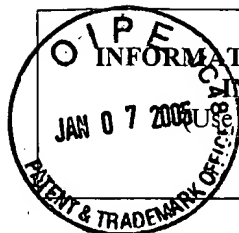
Applicants look forward to early and favorable consideration of this matter.

Respectfully submitted,

BELL, BOYD & LLOYD LLC

BY 
Thomas C. Basso
Reg. No. 46,541
P.O. Box 1135
Chicago, Illinois 60690-1135
Phone: (312) 807-4310

Dated: January 5, 2005



INFORMATION DISCLOSURE CITATION

IN AN APPLICATION

(Use several sheets if necessary)

PTO Form 1449

Atty Docket No.

112857-265

Application No.

09/705,035

Applicant

Oba et al.

Filing Date

Nov. 2, 2000

Group

2644

U.S. PATENT DOCUMENTS

Examiner's Initials	Document Number	Publication Date	Inventor	Class	Subclass	Filing Date If Appropriate
	5,551,065	08-27-1996	Honore			
	5,914,701	06-22-1999	Gersheneld et al.			

FOREIGN PATENT DOCUMENTS

Examiner's Initials	Document Number	Publication Date	Country	Class	Subclass	Translation	
						Yes	No
	EP 07-170215	07-04-1995	Europe				

Examiner's Initials	OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

Examiner:

Date Considered:

*Examiner: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**(Under 37 CFR 1.97(b) or 1.97(c))**Docket No.
112857-265In Re Application Of: **OHY et al.**

JAN 07 2005

Application No.

09/705,035

Filing Date

Nov. 2, 2000

Examiner

J. Michalski

Customer No.

29175

Group Art Unit

2644

Confirmation No.

6839

Title: **PORTABLE AUDIO LISTENING APPARATUS**

Address to:

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450**37 CFR 1.97(b)**

1. ☐ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

37 CFR 1.97(c)

2. ☒ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

☒ the statement specified in 37 CFR 1.97(e);**OR**☐ the fee set forth in 37 CFR 1.17(p).

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
112857-265

In Re Application: **Obama et al.**

JAN 07 2005

Application No. 09/705,035	Filing Date Nov. 2, 2000	Examiner J. Michalski	Customer No. 29175	Group Art Unit 2644	Confirmation No. 6839
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Title: **PORTABLE AUDIO LISTENING APPARATUS**

Payment of Fee

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of _____ is attached.
- ☒ The Director is hereby authorized to charge and credit Deposit Account No. **02-1818** as described below.
- ☐ Charge the amount of _____
- ☒ Credit any overpayment.
- ☒ Charge any additional fee required.
- ☐ Payment by credit card. Form PTO-2038 is attached.

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Certificate of Transmission by Facsimile*

I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (Fax. No. _____)	
_____ (Date)	
_____ Signature	
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Certificate of Mailing by First Class Mail

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as <u>first class mail in an envelope</u> addressed to "Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450" [37 CFR 1.8(a)] on _____	
_____ (Date)	
_____ Signature of Person Mailing Correspondence	
Heather Foster	
_____ Typed or Printed Name of Person Mailing Certificate	

*This certificate may only be used if paying by deposit account.

Dated: **January 5, 2005**

Signature

Thomas C. Basso
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Chicago, IL 60690-1135
Phone: 312-807-4310

CC:

**STATEMENT UNDER 37 CFR 1.97(e) ACCOMPANYING
INFORMATION DISCLOSURE STATEMENT**

Docket No.
112857-265

In Re Application Of: Oba et al.

JAN 07 2005

Application 09/705,035	Filing Date November 2, 2000	Examiner J. Michalski	Customer No. 29175	Group Art Unit 2644	Confirmation No. 6839
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Invention: **PORTABLE AUDIO LISTENING APPARATUS**

COMMISSIONER FOR PATENTS:

This is a statement under the provisions of 37 CFR 1.97(e) in the above-identified application.

Check applicable statement herebelow:

Statement Under 37 CFR 1.97(e)(1)

- ☒ Each item of information contained in the accompanying Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the Information Disclosure Statement.

Statement Under 37 CFR 1.97(e)(2)

- ☐ No item of information contained in the accompanying Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned person, after making reasonable inquiry, no item of information contained in the accompanying Information Disclosure Statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the Information Disclosure Statement.



Signature

Dated: January 5, 2005

Thomas C. Basso
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(Date)

Signature of Person Mailing Correspondence

Heather Foster

Typed or Printed Name of Person Mailing Correspondence

CC: